

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

	Description	Final Regulation
Part 160, Subpart A	General Requirements	2
§160.101	Statutory basis and purpose	2
§160.102	Applicability	3
§160.103	Definitions	4
§160.104	Modifications	12
Part 160, Subpart B	Preemption of State Laws	13
§160.201	Applicability	13
§160.202	Definitions	14
§160.203	General rule and exceptions	16
§160.204	Process for requesting exception determinations	17
§160.205	Duration of effectiveness of exception determinations	18
	Constitutional Comments/Responses	
Part 160, Subpart C	Compliance and Enforcement	19
§160.300	Applicability	19
§160.302	Definitions	20
§160.304	Principles of achieving compliance	21
§160.304(a)	Cooperation	21
§160.304(b)	Assistance	21
§160.306	Complaints to the Secretary	22

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
Part 160, Subpart C	Compliance and Enforcement, continued...	19
§160.306(a)	Right to file a complaint	22
§160.306(b)	Requirements for filing complaints	22
§160.306(c)	Investigation	22
§160.308	Compliance reviews	23
§160.310	Responsibilities of covered entities	24
§160.310(a)	Provide records and compliance reports	24
§160.310(b)	Cooperate with complaint investigations and compliance reviews	24
§160.310(c)	Permit access to information	24
§160.312	Secretarial action	25
§160.312(a)	Resolution where noncompliance is indicated	25
§160.312(b)	Resolution when no violation is found	25
Part 164, Subpart A	Security & Privacy	26
§164.102	Statutory Basis	28
§164.103	Definitions	29
§164.104	Applicability	30
§164.105	Organizational Requirements	31
§164.106	Relationship to other parts	34

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
Part 164, Subpart C	Security Standards	35
§164.302	Applicability	36
§164.304	Definitions	37
§164.306	Security Standards	39
§164.308	Administrative Safeguards	41
§164.308(a)(1)	Security Management Process	41
§164.308(a)(2)	Assigned Security Responsibility	41
§164.308(a)(3)	Workforce Security	41
§164.308(a)(4)	Information Access Management	42
§164.308(a)(5)	Security Awareness & Training	42
§164.308(a)(6)	Security Incident Procedures	42
§164.308(a)(7)	Contingency Plan	42
§164.308(a)(8)	Evaluation	43
§164.310	Physical Safeguards	45
§164.310(a)(1)	Facility Access Controls	45
§164.310(b)	Workstation Use	45
§164.310(c)	Workstation Security	45
§164.310(d)	Device & Media Controls	45
§164.312	Technical Safeguards	47
§164.312(a)(1)	Access Control	47
§164.312(b)	Audit Controls	47
§164.312(c)(1)	Integrity	47
§164.312(c)(2)	Authenticate Electronic PHI	47

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.312(d)	Person or Entity Authentication	47
§164.312(e)(1)	Transmission Security	47
§164.314	Organizational Requirements	49
§164.314(a)(2)(i)	Business Associate Contracts	49
§164.316	Policies & Procedures	51
§164.316(a)	Policies & Procedures	51
§164.318	Compliance Dates	52

Part 164, Subpart E	Privacy	53
§164.500	Applicability	53
§164.501	Definitions	54
§164.502	Uses and disclosures of PHI	59
§164.502(a)	Standard	59
§164.502(b)	Minimum necessary	59
§164.502(c)	Agree Upon Restriction	60
§164.502(d)	De-identified Health Information	60
§164.502(e)	To Business Associates	61
§164.502(f)	Deceased	61
§164.502(g)	Personal Representatives	62
§164.502(h)	Confidential Communications	63
§164.502(i)	Consistent With Notice	63
§164.502(j)	Whistleblowers and Workforce Crime Victims	64

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.504	Organizational requirements	65
§164.504(a)	Definitions	65
§164.504(b)	Removed & Reserved	
§164.504(c)	Removed & Reserved	
§164.504(d)	Removed & Reserved	
§164.504(e)	Business associate contracts	65
§164.504(f)	Requirements for group health plans	68
§164.504(g)	Requirements for a covered entity with multiple covered functions	70
§164.506	Consent (Optional)	72
§164.506(a)	Permitted uses and disclosures	72
§164.506(b)	Consent is permitted	72
§164.506(c)	Implementation for TPO	72
§164.508	Authorization Required	74
§164.508(a)	Authorization	74
§164.508(b)	General Requirements	75
§164.508(b)(1)	○ Valid Authorizations	75
§164.508(b)(2)	○ Defective Authorizations	75
§164.508(b)(3)	○ Compound Authorizations	75
§164.508(b)(4)	○ Prohibition on Conditioning	76
§164.508(b)(5)	○ Revocation	76
§164.508(c)	Core Elements	76
§164.508(c)(3)	○ Plain Language	78
§164.508(c)(4)	○ Copy to Individual	78

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.510	Opportunity To Agree Or To Object	79
§164.510(a)	Facility Directories	79
§164.510(b)	Involvement in Individual's Care and Notification	80
§164.510(b)(2)	○ With Individual Present	80
§164.510(b)(3)	○ Individual Not Present	81
§164.510(b)(3)	○ Disaster Relief Purposes	81
§164.512	Authorization Not Required	82
§164.512(a)	Required By Law	82
§164.512(b)	Public Health Activities	82
§164.512(c)	Victims of Abuse, Neglect or Domestic Violence	84
§164.512(d)	Health Oversight Activities	84
§164.512(e)	Judicial and Administrative Proceedings	85
§164.512(f)	Law Enforcement Purposes	87
§164.512(f)(2)	○ For Identification and Location Purposes	88
§164.512(f)(3)	○ Victims of a crime	89
§164.512(f)(4)	○ Decedents	89
§164.512(f)(5)	○ Crime on Premises	89
§164.512(f)(6)	○ Reporting Crime In Emergencies	89
§164.512(g)	Decedents	90
§164.512(h)	Cadaveric organ, eye or tissue donation	90

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.512	Authorization Not Required, continued....	82
§164.512(i)	Research Purposes	90
§164.512(i)(1)	○ IRB or Privacy Board	90
§164.512(i)(2)	○ Documentation of Waiver of Approval	91
§164.512(j)	To Avert Serious Threat to Health or Safety	93
§164.512(j)(2)	○ Use or Disclosure NOT Permitted	93
§164.512(j)(3)	○ Limit on Info to Be Disclosed	94
§164.512(j)(4)	○ Presumption of Good Faith	94
§164.512(k)	Specialized Government Functions	94
§164.512(k)(1)	○ Military and Veterans Activities	94
§164.512(k)(2)	○ National Security and Intelligence	95
§164.512(k)(3)	○ Protective Services to the President	95
§164.512(k)(4)	○ Department of State Medical Suitability	95
§164.512(k)(5)	○ Correctional Institutions	95
§164.512(k)(6)	○ Government Programs Providing Public Benefits	96
§164.512(k)(6)(i)	➤ Health Plan	96
§164.512(k)(6)(ii)	➤ Administering Public Benefits	96
§164.512(l)	Workers' Compensation	96

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.514	Identifiers, Minimum Necessary, Limited Data Set	97
§164.514(a)	De-identification	97
§164.514(b)(2)(i)	Identification Elements	97
§164.514(c)	Re-identification	98
§164.514(d)	Minimum Necessary	99
§164.514(d)(2)	○ Identifying Roles and Classes in Workforce	99
§164.514(d)(3)	○ Policies and Procedures	99
§164.514(d)(3)(ii)	➤ Develop Criteria	99
§164.514(d)(3)(iii)	➤ Review Requests	99
§164.514(d)(4)	○ Minimum Necessary Requests	100
§164.514(d)(5)	○ Other Content Requirements	100
§164.514(e)	Standard Limited Data Set	100
§164.514(e)(2)	○ Data Set Elements	101
§164.514(e)(3)	○ Permitted Uses	101
§164.514(e)(4)	○ Data Use Agreement	101
§164.514(f)	Fundraising	103
§164.514(g)	Underwriting	103
§164.514(h)	Verification Requirements	103
§164.514(h)(1)(i)	○ Identify Individual	103
§164.514(h)(1)(ii)	○ Obtain Documentation	104
§164.514(h)(2)(ii)	○ Identify of Public Officials	104
§164.514(h)(2)(iii)	○ Authority of Public Officials	104

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

Section #	Description	Final Regulation
§164.520	Notice of Privacy Practices	106
§164.520(a)	Right to Notice	106
§164.520(a)(2)	○ Exception for Group Health Plan	106
§164.520(a)(3)	○ Exception for Inmates	106
§164.520(b)	Content of Notice	107
§164.520(b)(1)	○ Required Elements of Notice	107
§164.520(b)(1)(iii)	➤ Separate Statements	107
§164.520(b)(1)(iv)	➤ Individual Rights	108
§164.520(b)(1)(v)	➤ Covered Entity's Duties	108
§164.520(b)(2)	○ Optional Elements	109
§164.520(c)	Provision of Notice	109
§164.520(c)(1)	○ Health Plan Rqrmts	109
§164.520(c)(2)	○ Provider Rqrmts	110
§164.520(c)(3)	○ Electronic Notice	111
§164.520(d)	Joint Notice by Separate CE's	111
§164.520(e)	Documentation	112
§164.522	Requesting Restrictions & Confidential Communication	113
§164.522(a)	Request Restrictions	113
§164.522(b)	Confidential Communications	114

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

INDIVIDUAL RIGHTS		
Section #	Description	Final Regulation
§164.524	Access To PHI	115
§164.524(a)(1)	Right to Access	115
§164.524(a)(1)(i)	○ Psychotherapy Notes	115
§164.524(a)(1)(ii)	○ Use In Civil, Criminal or Administrative Action	115
§164.524(a)(2)	Un-reviewable Grounds for Denial	115
§164.524(a)(3)	Reviewable Grounds for Denial	116
§164.524(b)	Requests for Access	116
§164.524(b)(2)	○ Timely Action	116
§164.524(c)	Provision of Access	117
§164.524(c)(4)	○ Fees	118
§164.524(d)	Denial of Access	118
§164.524(e)	Documentation	119
§164.526	Amendment of PHI	120
§164.526(a)(1)	Right to Amend	120
§164.526(a)(2)	Denial of Amendment	120
§164.526(b)	Timely Action	120
§164.526(c)(1)	Accepting the Amendment	121
§164.526(d)	Denying the Amendment	121
§164.526(e)	Actions on Notices of Amendment	123
§164.526(f)	Documentation	123

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

INDIVIDUAL RIGHTS		
Section #	Description	Final Regulation
§164.528	Accounting of disclosures	124
§164.528(a)	Right to an Accounting	124
§164.528(a)(1)	Exceptions to Accounting	124
§164.528(a)(1)(i)	○ For TPO	124
§164.528(a)(1)(ii)	○ To individuals about them	124
§164.528(a)(1)(iii)	○ Otherwise as permitted in general uses and disclosures - §164.502	124
§164.528(a)(1)(iv)	○ Pursuant to authorization	124
§164.528(a)(1)(v)	○ For facility directory or persons involved in care	124
§164.528(a)(1)(vi)	○ National security or intelligence purposes	124
§164.528(a)(1)(vii)	○ To Correctional Institutions	124
§164.528(a)(1)(viii)	○ As part of limited data set	124
§164.528(a)(1)(ix)	○ That occurred prior to compliance date	124
§164.528(b)(2)	Content of accounting	125
§164.528(b)(3)	Multiple disclosures to same person or entity	125
§164.528(b)(4)	Accountings for Research	126
§164.528(c)	Provision of Accounting	126
§164.528(d)	Documentation	127

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

COVERED ENTITIES		
Section #	Description	Final Regulation
§164.530	Administrative requirements	128
§164.530(a)	Personnel Designations	128
§164.530(b)	Training	128
§164.530(c)	Safeguards	128
§164.530(d)	Complaints to Covered Entity	129
§164.530(e)	Sanctions	129
§164.530(f)	Mitigation	129
§164.530(g)	Refraining from intimidating or retaliatory acts	129
§164.530(h)	Waiver of Rights	130
§164.530(i)	Policies and Procedures	130
§164.530(i)(2)	○ Changes to Policies and Procedures	130
§164.530(i)(3)	○ Changes in law	130
§164.530(i)(4)	○ Changes to Privacy Practices	130
§164.530(j)	Documentation	131
§164.530(k)	Group Health Plan exceptions	132

**Index to HIPAA Annotated Final Privacy & Security Regulations
(CFR 45 Part 160- 164)**

COVERED ENTITIES		
Section #	Description	Final Regulation
§164.532	Transition provisions	133
§164.532(a)	Effect of prior authorizations	133
§164.532(b)	Effect of prior authorizations for purposes other than research	133
§164.532(c)	Effect of prior permission for research	133
§164.532(d)	Effect of prior contracts with business associates	133
§164.532(e)	Deemed compliance	134
§164.534	Compliance Dates	135
§164.534(a)	Health care providers	135
§164.534(b)	Health plans	135
§164.534(c)	Health care clearinghouses	135